

Deutsche Börse AG

Cash Market

Products & Regulation

60485 Frankfurt/Main

Fax: +49-(0) 69-2 11-6 136 45

Applicant

Name of issuer

Business address

First and last name of contact person

Phone

Fax

Application for Participation in the Exchange Traded Funds & Exchange Traded Products Segment

We hereby apply for participation in the Exchange Traded Funds & Exchange Traded Products Segment of Deutsche Börse AG. We confirm that we have read the „Conditions for Participation“ and are in full agreement with them.

Expected Listing Date: _____

First Trading Date: _____

The completed application form (Appendix 1) is enclosed along with this application.

Place and date

Company stamp and signature of applicant

Appendix 1

Name of financial instrument	
Type of financial instrument	<input type="checkbox"/> ETF <input type="checkbox"/> Active ETF <input type="checkbox"/> ETC <input type="checkbox"/> ETN
Description of financial instrument / investment objective	
SFDR-Regulation	<input type="checkbox"/> Article 6 <input type="checkbox"/> Article 8 <input type="checkbox"/> Article 9 <input type="checkbox"/> None
ISIN / Xetra code of financial instrument	ISIN: Xetra Code:
Reuters RIC / Bloomberg Code of financial instrument	Reuters RIC: Bloomberg Code:
Duration of financial instrument	
Base currency of financial instrument	
Trading currency of financial instrument	<input type="checkbox"/> EUR <input type="checkbox"/> USD <input type="checkbox"/> GBP <input type="checkbox"/> AUD <input type="checkbox"/> CAD <input type="checkbox"/> CHF <input type="checkbox"/> JPY <input type="checkbox"/> SEK
Replication method of underlying index / instrument	<input type="checkbox"/> Full Replication <input type="checkbox"/> Physical/Optimised <input type="checkbox"/> Physical/Sample <input type="checkbox"/> Swap-based <input type="checkbox"/> Other:
Income distribution policy of financial instrument	<input type="checkbox"/> Distribution <input type="checkbox"/> Capitalization
Income distribution frequency	<input type="checkbox"/> Annually <input type="checkbox"/> Semi-annually <input type="checkbox"/> Quarterly <input type="checkbox"/> Others:
Type of collateralisation of financial instrument (only in case of swap-based ETFs, ETCs and ETNs):	<input type="checkbox"/> Physically backed by commodities <input type="checkbox"/> Backed by collateral held in trust <input type="checkbox"/> Backed by third party <input type="checkbox"/> Other: <input type="checkbox"/> None
Description of type of collateralization (type of collateral used, degree of collateralization, valuation frequency, etc.)	
Fee structure of financial instrument:	Management fee: Total Expense Ratio (TER): Other product-specific costs not included in TER:
UCITS compliant fund (ETFs only)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Transparent fund according to Investment Act (ETFs only)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Date of commencement of public distribution within Germany	

Further listings on other stock exchanges or organized markets	
Underlying index / instrument	
Description of underlying index / instrument	
ISIN / Reuters RIC / Bloomberg Code of underlying index / instrument	ISIN: Reuters RIC: Bloomberg Code:
Proportionate value of financial instrument to underlying index / instrument	
Composition of underlying index / instrument at commencement of trading or source of information at which composition of underlying index / instrument at commencement of trading is accessible to the public	<input type="checkbox"/> See attachment <input type="checkbox"/> Website:
Contact person for calculation of indicative NAV (Net Asset Value)	
Calculation method of indicative NAV	<input type="checkbox"/> Index-based <input type="checkbox"/> Portfolio-based <input type="checkbox"/> Other:
ISIN / Xetra code of indicative NAV	ISIN: Xetra Code:
Reuters RIC / Bloomberg Code of indicative NAV	Reuters RIC: Bloomberg Code:
Issuer	
Description of issuer	
Contact address of issuer	
Contact person at issuer	
Further information sources for investors (websites, e-mail addresses, product hotlines, Reuters pages etc.)	
Other distribution channels	
Contact addresses of authorised institutions that may affect the creation and redemption of shares directly with the investment company or the issuer	
Contact address(es) of Designated Sponsor(s)	
Maximum bid/offer spread for Designated Sponsors on the electronic trading system of FSE	_____ %

Minimum quotation volume for Designated
Sponsors on the electronic trading system of FSE

-
- EUR
 - USD
 - GBP
 - AUD
 - CAD
 - CHF
 - JPY
 - SEK
 - Shares

Appendix 2: Information to be submitted electronically on a daily basis*

Name of financial instrument	
ISIN of financial instrument	
Securities held by financial instrument	
ISIN codes of securities held by financial instrument	
Number of shares held of each security	
Cash component of financial instrument	
Net asset value of last trading day	

Assets under management in Euro	
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* to be sent to the following e-mail address: funds.data@deutsche-boerse.com