

DESIGNATED SPONSOR RATING

3. Quarter 2022

Sorted by name of the Designated Sponsor.

Rating "AAe"

Designated Sponsor	Registered shares	MDAX	SDAX	Other RM*	Scale/ BB*
DEUTSCHE BANK AG	1	-	1	-	-
HAUCK AUFHAEUSER LAMPE PRIVATBANK AG	55	3	6	26	20
M.M. WARBURG & CO (AG & CO.) KGAA	39	1	6	21	11
STIFEL EUROPE BANK AG	45	9	14	19	3
Total registered shares	140	13	27	66	34

Rating "AA"

Designated Sponsor	Registered shares	MDAX	SDAX	Other RM*	Scale/ BB*
BAADER BANK AG	34	-	5	18	11
BANKM AG	35	-	1	13	21
DZ BANK AG DT. ZENTRAL-GENOSSENSCHAFTSB.	9	3	2	4	-
GOLDMAN SACHS BANK EUROPE SE	2	-	2	-	-
ICF BANK AG WERTPAPIERHANDELSBANK	63	6	16	27	14
JOH. BERENBERG, GOSSLER & CO. KG	15	2	2	11	-
KEPLER CHEUVREUX	8	1	3	2	2
LANDESBANK BADEN-WUERTTEMBERG	14	2	2	9	1
MORGAN STANLEY EUROPE SE	1	-	-	1	-
MWB FAIRTRADE WERTPAPIERHANDELSBANK AG	50	-	1	14	35
PARETO SECURITIES AS	41	-	4	31	6
RAIFFEISEN CENTROBANK AG	1	-	-	-	1
Total registered shares	273	14	38	130	91

Rating “ABe”

Designated Sponsor	Registered shares	MDAX	SDAX	Other RM*	Scale/ BB*
ODDO BHF CORPORATES & MARKETS AG	100	9	20	44	27
Total registered shares	100	9	20	44	27

Rating “ACe”

Designated Sponsor	Registered shares	MDAX	SDAX	Other RM*	Scale/ BB*
CREDIT SUISSE BANK (EUROPE) SA	2	-	-	2	-
Total registered shares	2	-	-	2	-

Rating “AD”

Designated Sponsor	Registered shares	MDAX	SDAX	Other RM*	Scale/ BB*
J.P. MORGAN SE	5	-	3	2	-
Total registered shares	5	-	3	2	-

No rating could be assigned to the following Designated Sponsors because the minimum requirements were not met in more than 10 per cent of the registered shares:

Designated Sponsor	Registered shares	MDAX	SDAX	Other RM*	Scale/ BB*
FUTURUM BANK AG	1	-	-	-	1
OPTIVER V.O.F.	1	-	-	1	-
WOLFGANG STEUBING AG	6	-	-	1	5
Total registered shares	8	-	-	2	6

RM* / BB* = Regulated Market / Basic Board

Designated Sponsor

For shares with insufficient liquidity, support by at least one Designated Sponsor is a prerequisite for continuous trading on Xetra®. Currently, 18 Designated Sponsors are active in 585 equities of MDAX, SDAX, in other equities of the Regulated Market and the Scale Segment/Basic Board. In order to enhance the transparency and compare the performance of these liquidity providers, Deutsche Börse creates a rating - a kind of quality mark. For Designated Sponsors, this rating is both an incentive and an advertisement. For further information, please refer to the Designated Sponsor Guide.

Rating Classes

The rating is measured based on the below named rating and is determined in the form of a combination of letters from 'A' to 'D' (Top-Rating 'AA'). The following table shows the rating measure and the division into rating classes.

Average quotation time in relation to the effective trading time*	Rating class	Average spread in relation to maximum Spread**	Rating class
Quotation time $\geq 90\%$	A	Spread $\leq 75\%$	A
$75\% \leq$ Quotation time $< 90\%$	B	$75\% <$ Spread $\leq 80\%$	B
		$80\% <$ Spread $\leq 85\%$	C
		Spread $> 85\%$	D

* An average quotation time of $\geq 90\%$ means that on average there has been a quotation of the respective shares of at least 90% of continuous trading.

** A spread of $\leq 75\%$ means that on average the maximum spread of the respective share was tightened by at least 25%.

Publication

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E-Mail: xetra@deutsche-boerse.com

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