

Action required!

To all Xetra® Members and Vendors

Recipients: Traders, Nominated Persons, Technical Contacts, General

15 December 2016

“Equity Day“ for private investors at Börse Frankfurt

Dear Sir or Madam,

In connection with measures taken to promote the equity culture in Germany, Deutsche Börse pursues the objective to increase the awareness for equities as an alternative type of investment. For this purpose, an “Equity Day“ will take place at the trading venue Börse Frankfurt on **16 March 2017** for the third time.

On this day, private investors will be given the opportunity to buy all 30 DAX® shares and nine selected ETFs from a countervalue of €1,000 **at the trading venue Börse Frankfurt** (MIC: XFRA) between 8.00 and 20.00 CET free of charge. For Trading Members registered for the promotion day, Deutsche Börse will waive transaction and trading fees which are usually charged. On their part, Trading Members taking part in the promotion will disclaim on this day commission, trading venue fees, additional charges etc. vis-à-vis their private investors.

If a Trading Member wishes to take part in “Equity Day“, we kindly ask for registration by **27 February 2017** at the latest, using the attached form.

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Frankfurt/Main


Prerequisites for participation in “Equity Day“ and basic conditions for participation

- All Trading Members of Frankfurter Wertpapierbörse (FWB[®], the Frankfurt Stock Exchange) and their customers acting as intermediaries for private investors may take part in the event.
- For participation, registration by 27 February 2017 at the latest is necessary, using the attached form.
- After successful registration, your Key Account Manager will contact you in order to initiate the signing of a separate agreement on the execution of “Equity Day“ between the Trading Member and Deutsche Börse. The agreement defines all details and contains information on special marketing measures.
- The waiver of transaction and trading fees for trading venue Börse Frankfurt (pursuant to chapters 2.3.1.1 and 2.3.2.1 of the Price List for the Utilization of the Exchange EDP of FWB Frankfurt and of the EDP Xontro) will only be valid for buy trades entered via a Trading Member’s customer account.
- For unique identification of trades from private investors, the respective trader IDs, through which these trades will exclusively be transmitted, must be specified to Deutsche Börse.
- The Trading Member commits itself to refrain from charging its private investors commission, trading venue fees and additional charges etc. for the 30 DAX[®] shares which are part of the index on the day the promotion takes place and the ETFs listed in the attachment.

If you wish to participate in “Equity Day“, please fill in the attached form and return it to the fax number given on the form until **27 February 2017**, at the latest.

If you have any questions regarding “Equity Day“, please contact the Group Client Maintenance department at tel. +49-(0) 69-2 11-1 33 88, e-mail memberservices@deutsche-boerse.com, or your personal Key Account Manager.

Yours faithfully,



Oliver Grohmann



Axel Schmidt

Attachments

Attachment:

List of ETFs available for buy orders on “Equity Day“ on 16 March 2017

Instrument group	Name	ISIN
ETF	ComStage DAX TR UCITS ETF	LU0378438732
ETF	ComStage FR DAX UCITS ETF	LU0488317024
ETF	ComStage 1 DAX UCITS ETF	DE000ETF9017
ETF	db x-trackers DAX UCITS ETF (DR)	LU0274211480
ETF	db x-trackers DAX UCITS ETF (DR)	LU0838782315
ETF	Deka DAX (ausschüttend) UCITS ETF	DE000ETFL060
ETF	Deka DAX UCITS ETF	DE000ETFL011
ETF	iShares Core DAX [®] UCITS ETF (DE)	DE0005933931
ETF	Lyxor UCITS ETF DAX	LU0252633754